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ATTORNEY DOCKET NO.: KCX-332 (15927)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
ALLAN F. WILLIS ET AL.
Serial No.: 09/741,730

Filed: DECEMBER 19, 2000

For: SEALING VALVE ASSEMBLY FOR
MEDICAL PRODUCTS

) Examiner: R. GHAFORIAN

) Art Unit: 3763

) Confirmation No.: 5590

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

The present Information Disclosure Statement is submitted in accordance with
the Applicant's duty of disclosure.

A Search Report issued by the European Patent Office on the corresponding
PCT application is being submitted, as well as the references cited by the EPO.

The Examiner is encouraged to contact the undersigned at his convenience
should he have any questions regarding this matter or require any additional
information.

Respectfully submitted,

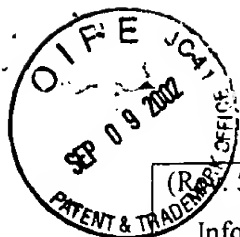
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(R. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-332 (15927)	Serial Number 09/741,730
	Applicant ALLAN F. WILLIS ET AL.	
	Filing Date: 12/19/00 Confirmation No.: 5590	Group 3763

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	WILLIS ET AL.				5	9	9	7	5	0	3	12/7/99
	GREEN ET AL.				R	E	3	6	7	0	2	5/16/00
	SMITH ET AL.				5	8	9	5	3	7	7	4/20/99

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Inventor of: ALLAN F. WILLIS ET AL. Attorney Docket No.: KCX-332 (15927)
Serial No.: 09/741,730 Date: AUGUST 30, 2002
Filed: DECEMBER 19, 2000 Art Unit: 3763
Confirmation No.: 5590 Our Account No.: 04-1403

Title: SEALING VALVE ASSEMBLY FOR MEDICAL PRODUCTS

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231

Sir:

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The following is an Information Disclosure Statement for the captioned patent application, pursuant to 37 CFR Sections 1.56, 1.97, and 1.98.

1. ☒ Attached hereto is:

- a. ☒ A list of materials for consideration per Rule 98(a)(1): 1 page(s)
- b. ☒ A legible copy of each patent, publication, or other item listed per Rule 98(1)(2), unless not required per Rule 98(c) and/or (d) and as indicated on the attached list(s):
8 item(s)
- c. ☐ For each non-English language item listed, pursuant to Rule 98(a)(3), a concise explanation of the relevance thereof as it is presently understood by the individual designated in Rule 56(c) most knowledgeable about the content of such items: _____

☒ Such explanation is provided in the Search Report from a corresponding application enclosed herewith along with any enclosed translation into English.

2. ☒ This Information Disclosure Statement is being filed [CHECK ONE]:

- a. ☐ WITHIN THREE MONTHS of the application filing date, national stage date of entry, or along with or after a request for continued examination, OR BEFORE the mailing date of a first Office Action on the merits, which ever event occurs last, WHEREFORE per Rule 97(b) NO filing fee or Rule 97(e) certificate is required.
- b. ☒ AFTER the time periods of section 2.a above, but BEFORE a Final Action, Notice of Allowance OR an action that otherwise closes prosecution, WHEREFORE PER Rule 97(c) submitted herewith is [CHECK ONE]:
- i. ☒ Certification per Rule 97(e); OR
- ii. ☐ Filing Fee per Rule 17(p)\$180.00
- c. ☐ AFTER a Final Action OR Notice of Allowance, but BEFORE payment of the issue fee, WHEREFORE per Rule 97(d) submitted herewith is:
- i. Certification per Rule 97(e); AND
- ii. Filing fee per Rule 17(p)\$180.00

3. ☒ Rule 97(e) Certification; per Rule 97(e), the undersigned certifying party make the following certification statement [CHECK ONE]:

- a. ☒ That each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; OR
- b. ☐ That no item of information contained in this Information Disclosure Statement was first cited in a foreign patent office in a counterpart foreign application and to the knowledge of the undersigned after making a reasonable inquiry, was known to any individual designated in Rule 56(c) more than three months prior to the filing of this statement.

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